

ADMINISTRATIVE ARRANGEMENT BETWEEN THE TAIPEI ECONOMIC AND
CULTURAL REPRESENTATIVE OFFICE IN THE UNITED STATES AND THE
AMERICAN INSTITUTE IN TAIWAN PURSUANT TO THE AGREEMENT FOR
COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE
TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE
UNITED STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY

1. PURPOSE
2. DEFINITIONS
3. CHANNELS OF COMMUNICATION
4. ACCOUNTING FOR AND CONTROL OF ALL ITEMS SUBJECT TO THE
AGREEMENT
5. COMMUNICATIONS IN CONNECTION WITH DIRECT TRANSFERS
6. COMMUNICATIONS IN CONNECTION WITH RETRANSFERS
7. PROTECTION OF INFORMATION
8. MODIFICATION
9. EFFECTIVE DATE

Attachment A

1. **PURPOSE**

The purpose of this Arrangement is to provide for the effective implementation of the provisions of the Agreement for Cooperation Between the American Institute in Taiwan and the Taipei Economic and Cultural Representative Office in the United States Concerning Peaceful Uses of Nuclear Energy, signed at Washington on December 20, 2013, and which entered into force on June 22, 2014 (the Agreement).

In addition, this Arrangement lays out the procedures for the reporting of third party uranium on Taiwan pursuant to the diplomatic exchanges of notes between the United States and Australia (July 31, 2001), Canada (February 24, 1993 & March 5, 1993), and the European Commission (July 27, 1999 & July 29, 1999).

2. **DEFINITIONS**

For purposes of this Arrangement, unless defined herein, the definitions in Article 1 of the Agreement apply. Terms not defined in Article 1 of the Agreement or in this Arrangement have the same meaning as in paragraphs 98 through 116 of the International Atomic Energy Agency (IAEA) document, "The Structure and Content of Agreements Between the Agency and States Required in Connection with the Treaty on the Non-Proliferation of Nuclear Weapons" (INFCIRC/153 (Corrected)).

For purposes of this Arrangement, unless otherwise provided, with respect to the American Institute in Taiwan (AIT), the term "Designated Representative" refers to the U.S. Department of Energy (DOE). For the purpose of this Arrangement, unless otherwise provided, with respect to the Taipei Economic and Cultural Representative Office in the United States (TECRO), the term "Designated Representative" refers to the Taiwan Atomic Energy Council (AEC).

"Items" means source material, special fissionable material, moderator material, tritium, minor actinides separated from special fissionable material, equipment, and components subject to the Agreement.

Nothing in this Arrangement, including these definitions, is intended to conflict with the terms of the Agreement. Should such a conflict be identified, AIT and TECRO (together, the Participants) intend that the terms of the Agreement should prevail.

3. **CHANNELS OF COMMUNICATION**

Pursuant to this Arrangement, the Participants, through their Designated Representatives, are to communicate directly using appropriately secure channels of communication.

The point of contact within AEC is:

Nuclear Safeguards Section
 Department of Planning
 Atomic Energy Council, Executive Yuan
 7F, No. 80, Sec. 1, Cheng-Kung Rd., Yung-Ho District
 New Taipei City 23452, Taiwan
 Telephone: (886) 2-2232-2065
 Fax: (886) 2-8231-7804

The point of contact within DOE is:

Agreement Program Lead
 Nonproliferation and Arms Control Office (NA-24)
 National Nuclear Security Administration
 Office of Defense Nuclear Nonproliferation
 U.S. Department of Energy
 1000 Independence Ave, SW
 Washington, D.C. 20585
 Telephone: (202) 586-4700
 Fax: (202) 586-6789

4. **ACCOUNTING FOR AND CONTROL OF ALL ITEMS SUBJECT TO THE AGREEMENT**

The Participants, through their Designated Representatives, are each to maintain a system of accounting and control of all source material and special fissionable material subject to the Agreement.

In the territory or under the jurisdiction or control of the authorities represented by TECRO, the procedures for this system are to be implemented pursuant to those set forth in IAEA document INFCIRC/153 (Corrected), or in any revision of that document that the Participants jointly decide to apply.

In the territory or under the jurisdiction or control of the authorities represented by AIT, the system is to be implemented by AIT through the U.S. Nuclear Regulatory Commission (NRC) and through the DOE, within their respective jurisdictions, in conformity with the Agreement Between the United States of America and the International Atomic Energy Agency for the Application of Safeguards in the United States of America (INFCIRC/288), which entered into force on December 9, 1980, and any subsequent revisions or replacements thereto upon their entry into force, as well as the Protocol Additional to the Agreement between the United States of America and the International Atomic Energy Agency for the Application of Safeguards in the United States of America (INFCIRC/288/Add.1.), which entered into force on January 6, 2009. For NRC-licensed facilities, this system is to be implemented pursuant to Title 10, Code

of Federal Regulations, Parts 40, 70, 73, 74, 75, or 150 as appropriate. For DOE facilities, this system is to be implemented pursuant to DOE Order 474.2, Nuclear Material Control and Accountability, and associated guides as amended.

In this Arrangement, the units of account for special fissionable material are to be as specified in paragraph 101 of INFCIRC/153 (Corrected). For source material and moderator material, the unit of account is to be kilograms or grams, as directed by the IAEA. For tritium, the unit of account is to be grams.

4.1. INVENTORIES

Pursuant to Article 10 of the Agreement, each Participant, through its Designated Representative, is to establish and maintain an inventory of all Items subject to the Agreement within the jurisdiction of the authorities represented by the Participant. This inventory is to conform with the Agreement Between the International Atomic Energy Agency, the Government of the Republic of China and the Government of the United States of America for the Application of Safeguards (set out in INFCIRC/158), which entered into force on December 6, 1971.

In accordance with the procedures in paragraph 4.4 of this Arrangement, the Participants, through their Designated Representatives, are to update and exchange inventories annually.

4.2. REMOVAL FROM INVENTORY

Items included on the inventory are to remain on the inventory until a determination has been made by joint decision of the Participants, through their Designated Representatives, that:

- (a) such Items have been retransferred beyond the jurisdiction of the authorities represented by the receiving Participant in accordance with the procedures set out in paragraph 6 below;
- (b) such Items have been returned to the other Participant in accordance with the procedures set out in paragraph 5.1.4. below;
- (c) such source material, special fissionable material, or moderator material is no longer usable for any nuclear activity relevant from the point of view of safeguards or has become practically irrecoverable; or
- (d) such tritium, other material, equipment, or component is no longer usable for nuclear purposes.

For each of the above cases, notification is to be made by the Participant intending, through its Designated Representative, to remove the Item from the inventory to the other Participant, through its Designated Representative.

Before removal from the inventory, the above-mentioned determination is to be decided jointly by the appropriate Participants, through their Designated Representatives, with respect to the Item subject to the Agreement, and is to be the same determination as made for the purposes of an agreement with the IAEA, where applicable.

4.3 RECORDS

Records are to be kept by the Participants, through their Designated Representatives, of all Items within the territory, jurisdiction, or control of the authorities represented by TECRO and within the territory, jurisdiction, or control of the authorities represented by AIT that are subject to the Agreement. The records are to be retained for at least five years after the Item is no longer subject to the Agreement. The records are to consist, as appropriate, of:

- (a) Accounting records of all Items subject to the Agreement; and
- (b) Production records for all such source material, special fissionable material, moderator material, tritium, and minor actinides separated from special fissionable material subject to the Agreement.

The system of measurements and reporting on which the records are based should either conform to the latest international practices or be equivalent in quality to such practices.

4.3.1. Accounting Records

The accounting records are to set forth the following:

- (a) all inventory changes, so as to permit at any time a determination of the book inventory; and
- (b) all adjustments and corrections that have been made with respect to inventory changes, book inventories, and physical inventories.

4.3.2. Production Records

The production records are to set forth the following:

- (a) source material, special fissionable material, moderator material, tritium, and minor actinides separated from special fissionable material that is used, produced, processed, enriched, fabricated, or converted by or with material or equipment that is subject to the Agreement; and
- (b) source material or special fissionable material supplied under the Agreement that

is used, produced, processed, reprocessed, enriched, fabricated, or converted.

4.4 REPORTS

The Participants, through their Designated Representatives, are to provide each other with Annual Reports on their respective inventories, covering the 12-month period ending on December 31, on all receipts and shipments of all Items subject to the Agreement. These Annual Reports are to be provided as soon as possible, and not later than six months, after the close of each period. The Annual Report on source material and special fissionable material is to follow the format and contain the information specified in Annex VIII to this Arrangement. The Annual Report on equipment and components exported from the territory of the authorities represented by AIT and under NRC jurisdiction—see 10 CFR Part 110—is to contain the information specified in Annex IX to this Arrangement. The Annual Report on tritium is to contain the information specified in Annex X to this Arrangement. The Annual Report on moderator material is to contain the information specified in Annex XI to this Arrangement. The Annual Report on minor actinides separated from special fissionable material is to contain the information specified in Annex XII to this Arrangement. Notes are to be appended to the Annual Reports, as necessary to explain any information included in the reports. A note is to be appended where, in the period covered by the Annual Report, IAEA safeguards have been terminated on source material and/or special fissionable material subject to the Agreement or exemption of such material from IAEA safeguards has been granted. Receipt of the Annual Reports is to be acknowledged within 30 days, in addition to requests for clarification on the information provided.

Each Participant, through its Designated Representative, is to provide the other in writing any questions it may have concerning the entries in the Annual Reports normally within 90 days of receipt of the Reports. The Participants, through their Designated Representatives, are to cooperate closely and afford each other all reasonable assistance in reconciling their respective records for the purpose of confirming compliance with the undertakings of the Agreement and in resolving such questions to their mutual satisfaction by the end of the calendar year. Neither the Participant nor its Designated Representative is to have any liability to the other Participant or Designated Representative for the costs of preparing any reports under this Arrangement.

4.5 PRINCIPLES OF FUNGIBILITY, EQUIVALENCE, AND PROPORTIONALITY

These principles apply to source material, special fissionable material and moderator material subject to the Agreement and held within the territory, jurisdiction, or control of the authorities represented by AIT.

As all source material, special fissionable material, minor actinides separated from special fissionable material, tritium, and equipment within the territory, jurisdiction or control of the authorities represented by TECRO is subject to the Agreement, there is no practical application of these principles with respect to the obligations under the

Agreement of the authorities represented by TECRO to the authorities represented by AIT. However, these principles do apply to material, equipment and components produced, developed, or manufactured in the territory of or permitted to enter the territory of, or be transferred to the jurisdiction or control of the authorities represented by TECRO when such material, equipment, or components are subject to reporting obligations of the authorities represented by AIT to third parties under separate agreements with such third parties.

4.5.1. Principle of Fungibility

The inventories of source material, special fissionable material and moderator material, and the Annual Reports thereon, need not identify physically the particular source material, special fissionable material or moderator material originally subject to the Agreement, but are, at all times, to identify an equivalent quantity of the same isotopic composition. The principle of fungibility is based on the understanding that atoms and molecules of any substance are indistinguishable from one another and are therefore interchangeable.

4.5.2. Principle of Equivalence

When for operational reasons source material, special fissionable material or moderator material subject to the Agreement loses its separate physical identity, or is deemed to have lost it, an equivalent quantity of the same isotopic composition of, respectively, source material, special fissionable material or moderator material is to be thenceforward regarded in all aspects as source material, special fissionable material or moderator material subject to the Agreement. The principle of equivalence is based on the fungible nature of nuclear material or moderator material.

The principle of equivalence is not to be used to reduce the quality of a quantity of source material or special fissionable material subject to the Agreement. Neither Participant, through its Designated Representative, is to apply the principles of equivalence and proportionality so as to result in any reduction to the total quantity of source material, special fissionable material or moderator material subject to non-proliferation obligations. The principle of equivalence does not reduce the rights of the facility holding the source material or special fissionable material subject to the Agreement to perform normal commercial operations involving natural, depleted, low or high enriched uranium, and plutonium subject to the Agreement, e.g., blending or irradiation, that may have the effect of reducing the quality of source material or special fissionable material subject to the Agreement.

4.5.3. Proportionality Principle

For purposes of maintaining the Designated Representatives' respective inventories of source material, special fissionable material and moderator material and reporting annually thereon, the principle of proportionality is to be applied as follows:

- (a) Chemical/Physical Processes: Where source material and/or special fissionable material subject to the Agreement is only part of the total source material and/or special fissionable material processed, the simple proportionality principle is to apply to the product, by-product, waste, and losses of the process. Where source material and/or special fissionable material with different obligations are processed together, proportionality is to be based on the relative quantities of the element or isotope of significance: in the case of plutonium, thorium, and depleted and natural uranium, the proportion is to be calculated by using the element mass; in the case of enriched uranium, the proportion is to be based on the mass of U-235 and/or U-233 as appropriate.
- (b) Irradiation Process: For purposes of implementing the rights specified in Articles 5 and 6 of the Agreement, with respect to special fissionable material produced through the use of material subject to the Agreement, respectively transferred pursuant to the Agreement and not used in or produced through the use of equipment transferred pursuant to the Agreement, such rights are, in practice, to be applied to that proportion of special fissionable material produced that represents the ratio of material subject to the Agreement used in the production of special fissionable material to the total amount of such material so used.

5. COMMUNICATIONS IN CONNECTION WITH DIRECT TRANSFERS

The Participants, through their Designated Representatives, are to communicate with each other in connection with transfers as outlined below.

5.1. Direct Transfers

5.1.1. Prior Notification

Items are to become subject to the Agreement pursuant to Article 4 of the Agreement, provided that the supplying Participant, through its Designated Representative, prior to the direct transfer, (a) notifies the receiving Participant, through its Designated Representative, in writing of such intended transfer, and (b) obtains from the receiving Participant, through its Designated Representative, a written confirmation that the transferred Item or Items are to become subject to the Agreement upon receipt and that the proposed recipient, if other than the receiving Participant's Designated Representative, is a person authorized by the receiving Participant, through its Designated Representative. Before the transfer can take place, the receiving Participant, through its Designated Representative, is to provide such written confirmation to the supplying Participant, through its Designated Representative, within 30 days, if possible, of receipt of the written notification from the supplying Participant, through its Designated Representative. Both the written notification of the supplying Participant, through its Designated Representative, and the written confirmation of the receiving Participant, through its Designated Representative, are to include, *inter alia*, a description of the Item or Items to be transferred, the name and address of the shipping facility, the

name and address of the receiving facility, and the expected transfer date. In the case of source material and special fissionable material to be transferred, such notification and confirmation are to include a description of the source material, special fissionable material by type (chemical and physical form), marking, and any other identifying characteristics; by element weight (to the nearest gram for enriched uranium and plutonium, to the nearest kilogram for natural uranium, depleted uranium and thorium); and if appropriate by isotope weight of U-235, U-233 (all to the nearest gram). The data requested in Annexes IA and IB to this Arrangement is to be provided through use of such Annexes or other mutually acceptable forms of communication.

5.1.2. Shipments

As soon as possible after shipment of an Item or Items notified under paragraph 5.1.1. of this Arrangement, the supplying Participant, through its Designated Representative, is to provide to the receiving Participant, through its Designated Representative, a written confirmation of such shipment. The written confirmation should contain the same information as called for by paragraph 5.1.1. of this Arrangement except for the expected transfer date. The data requested in Annex II to this Arrangement should be provided through use of such an Annex or other mutually acceptable forms of communication.

5.1.3. Receipts

As soon as possible after receipt of an Item or Items referred to in paragraph 5.1.2. of this Arrangement, the receiving Participant, through its Designated Representative, is to provide to the supplying Participant, through its Designated Representative, a written confirmation of such receipt. The written confirmation should contain the same information as called for by paragraph 5.1.1. of this Arrangement, except for the expected transfer date. The data requested in Annex III to this Arrangement should be provided through use of such an Annex or other mutually acceptable forms of communication.

5.1.4. Return of Items

The return of an Item or Items to the other Participant is to be notified by the shipping Participant, through its Designated Representative, at the time of shipment with a confirmation similar to the one foreseen in paragraph 5.1.2. of this Arrangement (set out in Annex II to this Arrangement), with one additional remark stating "Item(s) being returned." If the Item is to be made subject to the Agreement within the jurisdiction of the authorities represented by the other Participant, the notification foreseen in paragraph 5.1.1. of this Arrangement is to be provided (Annex IA to this Arrangement).

6. COMMUNICATIONS IN CONNECTION WITH RETRANSFERS

The Participants, through their Designated Representatives, are to communicate with each other in connection with retransfers of Items subject to the Agreement as follows:

6.1. Retransfers of obligated irradiated source material and special fissionable material to France or to any other agreed countries and destinations for storage or reprocessing with prior consent

As set forth in the Agreed Minute to the Agreement, the Parties to the Agreement have agreed that TECRO may retransfer AIT-obligated irradiated source material and special fissionable material to France or other countries or destinations as may be agreed upon in writing by the Parties for storage or reprocessing, subject to the conditions set forth in the section entitled "Retransfers" in the Agreed Minute. In order to comply with the condition contained in paragraph b.(ii.) of that section (i.e., that TECRO, through its Designated Representatives, shall keep records of any such transfers to France or any other country or destination as may be agreed upon in writing by the Parties and shall upon shipment notify AIT, through its Designated Representatives, as defined in the Agreement, of each transfer), TECRO, through its Designated Representative, is to provide AIT, through its Designated Representative, the information requested in Annex VI to this Arrangement. In addition, TECRO, through its Designated Representative, is to provide AIT, through its Designated Representative, the information requested in Annex IV to this Arrangement with at least thirty (30) days advance notice of the proposed shipment, in order for (1) AIT's Designated Representative to have sufficient time to secure confirmation from the receiving country or destination, or in the case of a proposed transfer to a country that is a member of the European Atomic Energy Community (EURATOM), from EURATOM, that the source material or special fissionable material to be transferred will be held within the receiving country or destination or EURATOM (if the transfer is to France or another EURATOM member state) and will be subject to the terms of the applicable agreement for cooperation between the United States of America and the receiving country or destination or EURATOM and (2) the authorities represented by AIT to execute any reporting responsibilities they may have under agreements with third parties relating to the source material or special fissionable material in question. TECRO is not to proceed with the proposed retransfer until AIT provides its response to TECRO via Annex V.

6.2 Retransfers of obligated Items to destinations other than the territory of the authorities represented by the Participants without prior consent

Requests for consent to retransfer Items subject to the Agreement to a destination other than the respective territories of the authorities represented by the Participants (hereinafter a "third destination") are to be made by either of the Participants, through their Designated Representatives, and are to be sent to the other Participant, through its Designated Representative, as far in advance as possible of the proposed shipping date for the retransfer. The request is to contain information consistent with that as specified in Annex VII to this Arrangement. The decision by the other Participant, through its Designated Representative, on the request for consent to retransfer is to be given as soon as possible and made in accordance with the laws and regulations applicable in the territory of the authorities represented by the deciding Participant.

Upon receipt of such a request from TECRO, through its Designated Representative, AIT, through its Designated Representative, is to consider and process such a request in accordance with the applicable laws and regulations in the territory of the authorities represented by AIT, in particular section 131 of the Atomic Energy Act of 1954, as amended.

6.3 Shipments

After shipment has taken place, but no later than the report to the IAEA of the shipment, the shipping Participant, through its Designated Representative, is to provide the other Participant, through its Designated Representative, the information contained in Annex VI to this Arrangement.

The Participants understand that when source material or special fissionable material subject to the Agreement is transferred by a Participant, through its Designated Representative, to a third destination, responsibility for assuring physical security with respect to such source material or special fissionable material is to be decided between the transferring Participant, through its Designated Representative, and the appropriate authorities of the third destination for the period between departure from jurisdiction or control of the transferring Participant and the arrival of such material at the point of entry in the territory of the third destination, and that the physical security measures to be applied under the Agreement are those described in Article 8 of the Agreement.

7. PROTECTION OF INFORMATION

When communicating with each other pursuant to this Arrangement, the Participants, through their Designated Representatives, are to use appropriately secure channels. They are to take every reasonable precaution to prevent the unauthorized disclosure of information provided in confidence, and are to advise each other of information requiring special protection. Subject to the laws and regulations applicable in the respective territories of the authorities they represent, the Participants, through their Designated Representatives, are to protect all information relating to the implementation of this Arrangement at least at the level of "Official Use Only" or other similar level of protection.

8. MODIFICATION

This Arrangement may be modified by written consent of the Participants. Modifications are to be made in writing and are to become effective when signed on behalf of the Participants.

9. **EFFECTIVE DATE**

This Arrangement is to become effective on the last signature date when signed on behalf of the Participants.

Signed at Washington, in duplicate, in the English language.

on Dec. 16, 2015

on 12-16-15

FOR THE TAIPEI ECONOMIC AND
CULTURAL REPRESENTATIVE OFFICE
IN THE UNITED STATES:


Kuang-jang Lee
Deputy Representative

FOR THE AMERICAN INSTITUTE IN
TAIWAN:


Joseph R. Donovan, Jr.
Managing Director

Attachment A

LIST OF ANNEXES

Annex IA	-	Prior notification of direct transfer
Annex IB	-	Acknowledgement of prior notification of direct transfer
Annex II	-	Confirmation of a direct shipment
Annex III	-	Confirmation of a direct receipt
Annex IV	-	Information on planned shipment to a third destination
Annex V	-	Response to advance information on planned shipment to a third destination
Annex VI	-	Information on shipment to a third destination
Annex VII	-	Request for consent to retransfer
Annex VIII	-	Annual Report format for source material and special fissionable material
Annex IX	-	Annual Report format for equipment and components
Annex X	-	Annual Report format for tritium
Annex XI	-	Annual Report format for moderator material
Annex XII	-	Annual Report format for minor actinides separated from special fissionable material

ANNEX IA

**PRIOR NOTIFICATION PURSUANT TO THE AGREEMENT FOR COOPERATION
BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE TAIPEI
ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED
STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY**

To:	Fax No:
From:	Date:

DOE advises AEC (AEC advises DOE) of the following intended transfer:

1. AIT reference number (notification number and license number) (if known)
2. TECRO reference number (notification number and license number) (if known)
3. Shipper's (supplier's) name and address
4. Receiver's name and address
5. Facility of receipt
6. Expected date of shipment
7. Item(s) to be transferred. (Material category, if applicable)
8. Mass (mass of isotope, if applicable)
9. Physical form
10. Chemical form
11. End user name (if known)
12. Intended use
13. Third destination obligations (if applicable)

DOE (AEC) requests (a) confirmation from AEC (DOE) that the intended receiver is a natural or legal person duly authorized to receive the Items transferred pursuant to the Agreement; and (b) confirmation that Item(s) is to become subject to the Agreement upon receipt.

Signed:

(NAME AND POSITION)

OFFICIAL USE ONLY

ANNEX IB

**ACKNOWLEDGEMENT OF RECEIPT OF PRIOR NOTIFICATION PURSUANT TO
THE AGREEMENT FOR COOPERATION BETWEEN THE AMERICAN
INSTITUTE IN TAIWAN AND THE TAIPEI ECONOMIC AND CULTURAL
REPRESENTATIVE OFFICE IN THE UNITED STATES CONCERNING PEACEFUL
USES OF NUCLEAR ENERGY**

To:	Fax No:
From:	Date:

REF. NO

In response to DOE (AEC) prior notification no.dated AEC (DOE) confirms that (name).....of (address) is (are) duly authorized to receive the Items transferred pursuant to the Agreement. AEC (DOE) confirms that the Items related to this notification are to become subject to the Agreement upon receipt and further confirms that the exact quantity of the Items to be included in the inventory subject to the Agreement is to be that established through exchange of information contained in Annex II and Annex III of the Administrative Arrangement relating to the above referred transfer.

Signed:

(NAME AND POSITION)

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ANNEX II

**CONFIRMATION OF SHIPMENT PURSUANT TO THE AGREEMENT FOR
COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE
TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE
UNITED STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY**

To:	Fax No:
From:	Date:

PRIOR NOTIFICATION REF. NO

DOE advises AEC (AEC advises DOE) of the following shipment:

1. AIT reference number (notification number and license number) (if known)
2. TECRO reference number (notification number and license number) (if known)
3. Shipper's (supplier's) name and address
4. Receiver's name and address
5. Facility of receipt
6. Date of shipment
7. Batch number
8. Item(s) transferred. (Material category, if applicable)
9. Mass (mass of isotope if applicable)
10. Physical form
11. Chemical form
12. End user name (if known)
13. Third destination obligations (if applicable)

Signed:

(NAME AND POSITION)

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ANNEX III

**CONFIRMATION OF RECEIPT PURSUANT TO THE AGREEMENT FOR
COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND
TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE
UNITED STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY**

To:	Fax No:
From:	Date:

PRIOR NOTIFICATION REF. NO

AEC advises DOE (DOE advises AEC) of the following receipt:

- I. AIT reference number (notification number and license number) (if known)
2. TECRO reference number (notification number and license number) (if known)
3. Shipper's (supplier's) name and address
4. Receiver's name and address
5. Facility of receipt
6. Date of receipt
7. Batch number
8. Item(s) received. (Material category, if applicable)
9. Mass declared as received (mass of isotope if applicable)
10. Physical form
- II. Chemical form

Signed:

(NAME AND POSITION)

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ANNEX IV

INFORMATION ON THE PLANNED SHIPMENT TO A THIRD DESTINATION FROM THE TERRITORY OR UNDER THE JURISDICTION OR CONTROL OF THE AUTHORITIES REPRESENTED BY THE TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED STATES (THE AMERICAN INSTITUTE IN TAIWAN) PURSUANT TO THE AGREEMENT FOR COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY

To:	Fax No:
From:	Date:

NOTIFICATION REF. NO

AEC (DOE) advises DOE (AEC) of the following planned shipment(s):

1. Shipper's (supplier's) name and address
2. Receiver's name and address
3. Facility of receipt
4. Expected date of shipment(s) (if known)
5. Batch number(s) (if known)
6. Item(s) to be transferred. (Material category, if applicable)
7. Mass (mass of isotope if applicable)
8. Physical form
9. Chemical form
10. Obligations of the governing authorities in the third destination (if applicable)

Signed:

(NAME AND POSITION)

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ANNEX V

RESPONSE TO ADVANCE INFORMATION ON THE PLANNED SHIPMENT TO A
THIRD DESTINATION FROM THE TERRITORY OR UNDER THE JURISDICTION
OR CONTROL OF THE AUTHORITIES REPRESENTED BY THE TAIPEI
ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED
STATES (THE AMERICAN INSTITUTE IN TAIWAN) PURSUANT TO THE
AGREEMENT FOR COOPERATION BETWEEN THE AMERICAN INSTITUTE IN
TAIWAN AND THE TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE
OFFICE IN THE UNITED STATES CONCERNING PEACEFUL USES OF
NUCLEAR ENERGY

To:	Fax No:
From:	Date:

NOTIFICATION REF. NO

- (1) Appropriate action has been taken
- (2) Signature and name, position and date

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ANNEX VI

INFORMATION ON THE SHIPMENT TO A THIRD DESTINATION FROM THE TERRITORY OR UNDER THE JURISDICTION OR CONTROL OF THE AUTHORITIES REPRESENTED BY THE TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED STATES (THE AMERICAN INSTITUTE IN TAIWAN) PURSUANT TO THE AGREEMENT FOR COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY

To:	Fax No:
From:	Date:

DOE (AEC) advises AEC (DOE) of the following shipment:

1. Shipper's (supplier's) name and address
2. Receiver's name and address
3. Facility of receipt
4. Date of shipment
5. Batch number
6. Item(s) transferred. (Material category, if applicable)
7. Mass (mass of isotope if applicable)
8. Physical form
9. Chemical form
10. Obligations of the governing authorities in the third destination (if applicable)

Signed:

(NAME AND POSITION)

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ANNEX VII

**REQUEST FOR CONSENT TO RETRANSFER PURSUANT TO THE AGREEMENT
FOR COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND
THE TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE
UNITED STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY**

To:	Fax No:
From:	Date:

AEC advises DOE (DOE advises AEC) of the following intended transfer for which it requests consent:

1. Shipper's (supplier's) name and address
2. Receiver's name and address
3. Facility of receipt
4. Proposed date of shipment
5. Batch number
6. Item(s) to be transferred. (Material category, if applicable.)
7. Mass (mass of isotope if applicable)
8. Physical form
9. Chemical form
10. End use

Signed:

(NAME AND POSITION)

OFFICIAL USE ONLY

ANNEX VIII

ANNUAL REPORT ON SOURCE MATERIAL AND SPECIAL FISSIONABLE MATERIAL SUBJECT TO THE AGREEMENT FOR
COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE TAIPEI ECONOMIC AND CULTURAL
REPRESENTATIVE OFFICE IN THE UNITED STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY

For the period January 1, xxxx to December 31, xxxx

	LEU (g)			HEU (g)			PU (g)		NU (g or kg)		DU (kg)		U-233 (g)		TH (kg)	
	Element	Isotope		Element	Isotope		Element		Element		Element		Isotope		Element	
Beginning Inventory																
Direct Imports																
Indirect Imports																
Category Changes																
Nuclear Production/On-site Increases/Other																
Increases Subtotal																
Direct Exports																
Retransfers																
Category Changes																
Nuclear Loss/On-site																
Decreases/Other																
Decreases Subtotal																
Ending Inventory																

OFFICIAL USE ONLY

ANNEX IX

**ANNUAL REPORT ON EQUIPMENT AND COMPONENTS SUBJECT TO THE AGREEMENT
FOR COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE
TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED STATES
CONCERNING PEACEFUL USES OF NUCLEAR ENERGY**

For the period of January 1, XXXX to December 31, XXXX

Equipment

No.	Description	Shipper	Receiver	Receipt Date	End Use

Components

No.	Description	Shipper	Receiver	Receipt Date	End Use

OFFICIAL USE ONLY

ANNEX X

**ANNUAL REPORT ON TRITIUM SUBJECT TO THE AGREEMENT FOR
COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE
TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED
STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY**

1. Facility
2. Mass (grams)

ANNEX XI

**ANNUAL REPORT ON MODERATOR MATERIAL SUBJECT TO THE AGREEMENT
FOR COOPERATION BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE
TAIPEI ECONOMIC AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED
STATES CONCERNING PEACEFUL USES OF NUCLEAR ENERGY**

1. Facility
2. Description
3. Mass

ANNEX XII

**ANNUAL REPORT ON MINOR ACTINIDES SEPARATED FROM SPECIAL
FISSIONABLE MATERIAL SUBJECT TO THE AGREEMENT FOR COOPERATION
BETWEEN THE AMERICAN INSTITUTE IN TAIWAN AND THE TAIPEI ECONOMIC
AND CULTURAL REPRESENTATIVE OFFICE IN THE UNITED STATES
CONCERNING PEACEFUL USES OF NUCLEAR ENERGY**

1. Facility
2. Description of element
3. Mass